

1 THE OFFICER: All rise. Jury entering
2 (Whereupon, the jury entered the courtroom.)

3 THE COURT: Everybody be seated. We're on the
4 record. Call your witness.

5 MR. VARGAS: I call Dr. Matthew Grimm to the
6 stand.

7 THE OFFICER: Raise your right hand.

8 M A T T H E W G R I M M, a witness called by the
9 Plaintiff, after having been first duly sworn, testified as
10 follows:

11 THE OFFICER: For the record, please state your
12 name, spell your name, and business address.

13 THE WITNESS: Matthew Grimm, M-A-T-T-H-E-W
14 G-R-I-M-M. 160 East 56th Street. New York, New York
15 10022.

16 THE OFFICER: Witness is sworn.

17 THE COURT: The witness is sworn. You may
18 inquire, Counsel. Good morning, Doctor.

19 THE WITNESS: Good morning.

20 DIRECT EXAMINATION

21 BY MR. VARGAS:

22 Q Dr. Grimm, can you tell the jury your educational
23 background?

24 A I got a degree in electrical engineering at Penn State
25 University. I then went to medical school at Jefferson Medical

1 College and did a residency in physical medicine and
2 rehabilitation. I then did a subspecialty, a fellowship, in
3 interventional pain management in Marietta, Georgia.

4 I then went onto -- I did a residency in physical
5 medicine at William Beaumont home hospital in Michigan as well
6 as University of California Irvine and then I did my fellowship
7 at a nonsurgical orthopedics in Marietta, Georgia and then I
8 started working for New Ortho Sports Medicine and Trauma in New
9 York in 2011.

10 Q Are you licensed to practice in New York?

11 A Yes, since 2011.

12 Q And are you board certified?

13 A Yes. I'm board certified in physical medicine. And
14 rehabilitation.

15 Q Are you being compensated for your time here in court?

16 A My office, I work for New York Ortho. They're being
17 compensated for my time to clear my schedule.

18 Q And how much are they being compensated for?

19 A \$8,500.

20 Q And if you weren't here testifying, what would you be
21 doing?

22 A I had a slate of patients on the schedule and then end
23 of last week my office notified me that I'd be coming here
24 instead of the office and they cleared my schedule.

25 MR. VARGAS: Your Honor, I move to admit Dr.

1 Grimm as an expert in physical medicine and rehabilitation.

2 MR. ROSENZWEIG: No objection.

3 THE COURT: Without objection, he is recognized
4 as an expert in that field by the Court.

5 Q Doctor, can you explain to the jury what being board
6 certified in physical medicine and rehabilitation is?

7 A Being board certified, after we complete our
8 residency, I would take a series of tests, in my case, it's
9 both oral and written tests. In oral, we go to a hospital-type
10 setting and we have fake patients and then we sit down and we
11 have to diagnose and treat them to make sure we're competent in
12 our field, and then we also take a written portion to make sure
13 we have adequate knowledge of our field, mine being physical
14 medicine and rehabilitation. And if you don't, you fail, and
15 you can't be recognized as a board-certified doctor.

16 Q What is physical medicine and rehabilitation?

17 A I describe it sort of as nonsurgical treatment of
18 orthopedic conditions. So like joints, back. In my specific
19 case, I subspecialize in pain management. So I do both acute
20 and chronic treatment of painful conditions often dealing with
21 the spine, the neck and the back.

22 Q And the difference between acute and chronic?

23 A Acute means immediate pain. Like you break your leg,
24 you're acute pain. Chronic would be say if you break your leg
25 and it heals, but you're continuing to have pain usually

1 greater than six months, then it's determined it is now a
2 chronic condition and that needs treatment as well.

3 Q Did there come a time when you treated a Jesus
4 Buestan?

5 A Yes.

6 Q And for the record, marked for ID is your chart as
7 Plaintiff's 21. If you need to refer to it while you're
8 testifying, you may.

9 A Okay.

10 Q Can you tell the jury when you first saw Mr. Buestan?

11 A I saw him for the first time on January 28, 2020.

12 Q And at that time did you take a history?

13 A Yes.

14 Q What was the history?

15 A One second. I'm referring to my note here. Patient
16 indicated he was involved in a work-related accident on
17 9/27/2019. Patient indicated a roughly 500-pound piece of
18 concrete fell, striking him on the head, neck, and back causing
19 him to be forced to the ground with injuries to his head, neck,
20 lower back, knees, and his left wrist.

21 Q Did examine him at that time?

22 A Yes.

23 Q What was the findings of your examination?

24 A I was focusing more on the neck and the back which is
25 what he was referred to me for treatment. So his neck, he was

1 displaying decreased ranging. He had extension, which is
2 putting your head back, with pain at approximately 15 degrees.
3 Normal would be to 60 degrees. He had pain with flexion at 40
4 degrees and normal is between 50 and 80 degrees. He had pain
5 at left and right lateral flexion of the neck at 25 degrees.
6 Normal would be 45 degrees. Pain with rotation to the left at
7 30 degrees and right at 35 degrees. Normal is 80 degrees.

8 And then when testing his strength, he did have some
9 mild weakness involving his biceps strength, his deltoid
10 strengths, and in his triceps strength. So that's pulling
11 back, pushing forward, and then lifting the arm up like a wing
12 and then he also had some decreased sensation to light touch.
13 I would test different dermatomes.

14 A dermatome is just where you will sense issues in the
15 body. Each nerve pertains to a certain part of the skin, and
16 so I will just touch lightly in different areas to determine
17 roughly where his deficits lie and he was displaying a pattern
18 of decreased sensation involving the C-5 through C-7 nerves?

19 Q Why is that -- the difficulty in movement and the
20 decreased sensation, why is that significant?

21 A Again, it's just one of the sort of tools you use.
22 None of them are perfect, but with strength, your biceps is
23 innervated by the C5 nerve in the neck; your triceps is
24 innervated by the C6 primarily, and then your deltoid is
25 innervated primarily by the C5 and C6 nerves.

1 And then other nerves we test, like I'll test the
2 intrinsic musculature. That's more C-7 and wrist is I think
3 C7/T-1. So if you have weakness in some of those areas, they
4 can be attributed to a nerve issue or it could be other things
5 as well. This is something we put together, but this did
6 correlate with where he was having some decreased sensation and
7 that general pattern as well, C-5 to C-7.

8 Q If I just interrupt you for a second. I see you
9 brought a model of the spine?

10 A Yes.

11 Q Maybe if you can explain how these nerves are
12 connected to the spine for the jury.

13 A Okay. Well, this is just the lower back not to scale,
14 but it shows your tailbone, your coccyx, and then the vertebrae
15 and then in between the vertebrae are discs and then so the
16 discs give space and cushioning for the vertebrae and then it
17 also gives space for the nerves to come out of the spine and
18 then go to each individual; your legs, your arms, wherever they
19 need to go. And then they also show, these are facet joints.
20 That's the joints in between each vertebra as well.

21 Q When you were talking about C5, C6, C7, there's
22 certain nerves that go to certain parts of the body out of the
23 spine; is that correct?

24 A Yes.

25 Q I'm sorry. You can continue the rest of the exam.

1 A I'm just giving the basic anatomy of the back. The
2 C5, C6, C7 is up in the neck. So this would be L5, L4, L3.

3 Q The rest of your exam that day on --

4 A Oh, this exam. Yes, and then I also -- he was
5 displaying his reflexes were normal. He had a normal Hoffmann
6 sign. That's just another test. If there is active
7 impingement, sometimes it will become positive. It was
8 negative in his case.

9 He had positive Spurling sign. Spurling sign is
10 provocative test we would do to a patient where if the nerve is
11 involved in an injury or pain, the test, we will put downward
12 compression on the head with extension back and rotation to the
13 side, and because oftentimes if it is a nerve issues, it is
14 being compressed by something where it is exiting this hole
15 here, and if we do that test, it make this hole somewhat
16 smaller.

17 If the nerve is indeed what is causing the pain, we
18 are trying to reproduce it and irritate that nerve. The
19 patient will describe a pain going in sort of his usual
20 direction. So with his testing, I put that compression on his
21 head and down and he did explain to me that he feeling
22 reproduction of his pain to the shoulder area. And then he had
23 some spasm as well that I palpated in his neck musculature.

24 Lower back exam. He was walking with an antalgic
25 gait, which just means he's walking with a sort of painful

1 walking pattern. His range of motion was I would say very
2 markedly decreased. He had pain at extension to five degrees.
3 Normal would be 25 degrees, pain at flexion at 30 degrees,
4 bending forward. Normal would be 85 to 90 degrees. Left and
5 right lateral flexion he had pain at 15 and normal, again,
6 would be 25 degrees.

7 He did show some depressed ankle-jerk reflect. I
8 tested the reflexes at the knee and then at the Achilles, the
9 back of ankle. If it's depressed at the ankle, that can be
10 significant of a S-1 nerve root issue. So it was depressed in
11 him when I tested that. And then the sensation in his legs did
12 show decreased sensation to light touch involving L-5/S1.
13 Again, that just means there could be an issue with his L5-S1
14 nerves.

15 And then he had what's called a positive straight leg
16 raise. So like the neck, with the testing, the Spurling, the
17 straight leg test. We have a patient lay flat on the table,
18 lift the leg up. If there is an issue to the nerves in the
19 back and they are inflamed, lifting the leg begins to stretch
20 them and irritate them so if they're in pain, the patient can
21 describe shooting pain to the leg.

22 He did describe that on both his right and left and
23 then, again, he was displaying some weakness, just mild
24 weakness in his ankle dorsiflexion, so lifting his ankle up,
25 pushing his ankle down, and then lifting his big toe up. And

1 those are all L-5/S-1 innervated muscles. It's sort of a
2 puzzle not any one can determine if there's an issue, but
3 putting them all together, it gives us a little idea of what
4 could be happening.

5 Q And at that point by the time you had seen him, he had
6 had MRIs, correct?

7 A Yes.

8 Q Do you know what he had MRIs to?

9 A He had MRIs to his neck and his back.

10 Q Did you review the films or the reports?

11 A I just reviewed the reports. I didn't have the films.

12 Q What did the reports show?

13 A Let me find it. Bear with me here. So his MRI of the
14 lumbar spine showed disc herniations at L3-4, L4-5, and L5-S1
15 with central and foraminal narrowing and disc herniation at
16 L3-4 also has a focal right paracentral region impinging on the
17 lateral recesses.

18 So what a herniation is, the discs in between the
19 bones are kind of like shock absorbers. I describe it sort of
20 like a jelly doughnut to patients. There's the hard more
21 rubbery outer portion and the gelatinous inside. It gives it
22 the cushioning. If force gets placed on that disc to the
23 extent where it start to disrupt the fibers on the outside,
24 something called a herniation can form.

25 I sort of describe it like a radial tire that's

1 looking like it's about to blow. The fibers are sort of
2 pouching in one direction and it can sort of push out when you
3 put pressure on it. So if you're walking or something, it will
4 push out. That in itself won't cause any issue. People live
5 with them without any problem as long as they're not pinching
6 on nerves.

7 It's when they come into contact with the nerves where
8 patients will start to have pain and that pain is usually a
9 pain that starts in the back or the neck and will shoot into a
10 leg or a arm. So that's how you can determine if it's pain
11 related to a nerve issue versus pain coming from some other
12 portion of the neck. So in his case, he had herniations at
13 L3-4, L4-5, and 5-1.

14 Q The spasm you found in your examination, was that
15 objective or subjective?

16 A That's an objective finding. You can feel little
17 muscle bands tightening up.

18 Q What causes those?

19 A Any number of things can cause spasm. I mean pain can
20 cause spasm; stress can cause spasm; sleeping in an
21 uncomfortable position. I mean just a multitude of things can
22 cause spasm or another thing; if a muscle isn't being
23 innervated appropriately, sometimes it will start to die a
24 little bit. You can think of it and then it can start to
25 become irritated. That's another reason.

1 Q After the examination, did you have recommendations
2 for treatment for Mr. Buestan?

3 A At his initial visit, he had had already tried some
4 conservative treatment with physical therapy and medications.
5 So I discussed the him something called an epidural injection
6 to his neck and his lower back.

7 Q And what is an epidural?

8 A So if it is the nerves that are inflamed, an epidural
9 is an injection which we use an X-ray machine to guide a needle
10 down to the spine and put it around the area where the nerves
11 are to inject a combination of anesthetic and steroid around
12 the nerve to decrease the swelling and this can help both for
13 treatment, in that it decreases the swelling of the nerve.

14 It also can help with diagnosing an issue, because if
15 it is the nerve that is causing the problems, injecting
16 medication around it should relieve the pain at least
17 temporarily, if not for longer. If we were to do an epidural
18 and the patient did not receive any relief, then we might start
19 thinking the pain can be coming from other areas and indeed
20 not a nerve issue.

21 Q Did he agree to do the epidural?

22 A His neck was bothering him the most, so we discussed
23 starting with the neck and proceeding on with the lower back at
24 another time.

25 Q Did he do the epidural?

1 A Yes. We eventually ended up doing two epidurals for
2 his neck and I believe two for his lower back.

3 Q When was the first one for the neck?

4 A First one for the neck was February 24, 2020.

5 Q Where was the epidural administered or the location.

6 A Manhattan Surgery Center, which is on West 54th
7 Street.

8 Q Why is it don't at a surgical center?

9 A A surgical center, oftentimes patients will want to be
10 sedated for these procedures just because the thought of
11 putting a four-inch needle in their spine is sort of anxiety
12 provoking. So they don't get put to sleep. They just get sort
13 of given medication to make them calm and they're monitored to
14 make sure it's safe. And then there also is an X-ray machine
15 where we guide the needle down with live X-ray guidance to put
16 the needle and the medication in the right spot.

17 Q Where on the body was that administered?

18 A That was done at C7- T1. The reason it was C7-T1 is
19 going higher than that level can cause major complications
20 because as this epidural space goes up in the spine, it becomes
21 much narrower and narrower. So above C7-T1, you increase the
22 risk of puncturing the spinal cord which can lead to
23 complications such as spinal headaches, leaking of
24 cerebrospinal fluid, or hematoma formation in the area which is
25 a collection of blood.

1 Q And after February 24, 2020, did you see him again
2 after that epidural.

3 A Yes. His next visit was on March 10, 2020.

4 Q And did he report whether the epidural helped?

5 A He had reported that he noted improvement in symptoms
6 to roughly 50 percent improvement, but then at the approximate
7 two-week mark it was wearing off.

8 Q Was that significant to you that it was wearing off
9 after two weeks?

10 A I mean its just significant that he got improvement.
11 As I said before, you use it diagnostically as well to
12 determine if the nerves are one of the offending issues that's
13 causing his pain. So in that he did show improvement, I was
14 confident that the nerve was at least a large portion of a
15 great deal of his pain. We hope it lasts longer, but if it
16 starts to wear off, then we sort of recommend a booster
17 injection to try and -- I always describe it as trying to put
18 out a fire. If it's not sort of still simmering and coming
19 back, you want to throw some more water on it sooner rather
20 than later.

21 Q Did he have any different complaints at the March 10th
22 visit?

23 A He was complaining of some headaches in the back of
24 his head that would sort of come forward to his eyes. That's
25 oftentimes patients, you have some nerves that run up the back

1 of your neck on the outside of your skull. It's called greater
2 and less occiptal nerves. Patients who have head injuries or
3 patients who have a lot of spasm in the neck can often pull
4 down on your neck and it can cause inflammation of these
5 nerves. If they become inflamed, the patter of pain is then
6 like we describe pain coming around the neck to the eye and
7 your eye sometimes even becomes watery. He was complaining of
8 that and I did gave him some injections in the office on that
9 day, where I put the medication around the nerve, to calm it
10 down.

11 That can help with the headaches and i also gave him
12 some injections and I would palpate to find what's called a
13 trigger point and we would mark it and then I needle it with a
14 needle and a little bit of anesthetic to try to get it to
15 release, to relax at least temporarily improve his headaches
16 the muscle pain.

17 Q The cervical trigger, what would be your expectations
18 for the amount of relief from a cervical trigger compared to an
19 epidural?

20 A Two completely different things. The trigger point is
21 for muscular pain, muscle trigger points. People oftentimes
22 get I mean without injuries. My wife gets them and you get
23 them just here often in the base of your neck. The muscles get
24 strained from doing activities that maybe you are not used to
25 doing or if you have an injury. So it will provide temporary

1 relief of just muscular pain. It won't do anything for
2 nerve-related pain if it's that deeper pain. It's more
3 superficial.

4 Q The shots for the headaches, were those the occipital
5 block?

6 A Yes.

7 Q Why is it called occipital block?

8 A Because, as I said before, the nerve inflamed and
9 causing the headaches going forward are called the greater and
10 lesser occipital nerves. It's a condition called occipital
11 neuralgia.

12 Q Now, at around March of 2020, there was a thing called
13 the pandemic, correct?

14 A Correct.

15 Q Your office was closed?

16 A Yes. I believe we were closed for approximately six
17 weeks.

18 Q You had to do telemedicine for all your patients?

19 A Yes. We would do it from home.

20 Q The next time you physically saw Mr. Buestan, can you
21 tell the jury that?

22 A I saw him next time physically looks like June 22,
23 2020 and it was following a lumbar epidural, injection, which I
24 had given to him.

25 Q When did you give him the lumbar epidural?

1 A We had discussed it over the phone to set it up, at a
2 phone visit, and then the injection itself was given on looks
3 like May 26, 2020.

4 Q Was this at a surgical center or somewhere else?

5 A That was given at my office.

6 Q And which body part or area was it given to?

7 A The lower back the. Lumbar spine.

8 Q At any specific levels?

9 A Yes. His symptoms were primarily in the L4-5, L5-1
10 distribution in his complaints. So I put medication both at
11 the L4-5 and the L5-1 levels in the spine for the medicine to
12 spread and cover those nerves roots.

13 Q And then you saw him next on June 22, 2020?

14 A Yes.

15 Q And at that time did he report as to whether the
16 epidural helped?

17 A Yes. He reported an approximate 50 percent
18 improvement for two to three weeks, describing his pain going
19 from an eight to nine to a four to five on the visual analog
20 pain scale. We just ask him to -- like ten is the worst pain
21 you've ever felt, zero is no pain, for or five is sort of like
22 an annoying pain. So that's how he described it, but then the
23 symptoms again were returning so we did discuss proceeding on
24 to an additional injection.

25 Q At that time, did you also do a cervical trigger?

1 A On that date, yes. I had had some success with the
2 injections before for his headaches and the trigger points. If
3 the underlying issue is still there, you often continue to have
4 the headaches. They will come back. So I did give him
5 additional injections on that date as I described before.

6 Q You next saw him on -- the next would be another
7 epidural, correct?

8 A I believe so. Yes.

9 Q When was that?

10 A He was given a second lumbar epidural on 7/16/2020 and
11 a second cervical epidural on 7/8/2020.

12 Q Again, the same levels.

13 A Yes.

14 Q And then you saw him in the office again?

15 A Yes.

16 Q What was the date of that next office visit?

17 A I saw him on 8/17/2020.

18 Q Did he report any benefit from the epidural?

19 A Again, he was only describing a transient improvement.
20 I think it was no greater than the one, the initial injection
21 he had. So he described improvement, but with the pain
22 returning. So I elected to -- you can generally do up to three
23 to try and resolve it. Since he wasn't proceeding like I had
24 liked to, we didn't proceed on to the third epidural and I
25 referred him to a surgeon.

1 Q What surgeon?

2 A I referred him to Dr. Weinstein.

3 Q What kind of surgeon is he.

4 A He's an ortho spine surgeon.

5 Q Was Mr. Buestan on any medications at this time?

6 A He was on -- I had him on Gabapentin, which is a
7 medication for nerve pain. It doesn't resolve anything. It
8 just is sort of a medication that masks the pain if it's nerve
9 related and just on acetaminophen, which is Tylenol. He has
10 some GI, gastritis issues with like ibuprofens, so I put him on
11 Tylenol.

12 Q You next saw Mr. Buestan when?

13 A September 28, 2020.

14 Q And at that time, again, had his complaints changed at
15 all or any recommendations changed?

16 A He was just following with -- Dr. Weinstein sent him
17 for some updated studies.

18 Q What kind of studies are we talking about?

19 A MRIs. If he is considering surgery, he wanted newer
20 films to look at to see if anything had changed. He was also
21 -- I was finding some trigger points in his lumbar spine, his
22 lower back. So he was given injections at three areas where he
23 had the trigger point which is the muscle that's tightened up
24 into a very painful taught band and I also gave him a shot of
25 Toradol intramuscularly, which is treatment for just acute

1 pain. Patients come in and they're in a lot of pain. That can
2 give some pretty immediate relief.

3 Q What is Toradol?

4 A Toradol is a nonsteroidal anti-inflammatory. It's a
5 strong anti-inflammatory. Oftentimes it would be given in
6 emergency rooms if people come in with severe pain or football
7 players would get it before games. It's just a very strong
8 paper reliever that be given in the muscle and it is fast
9 acting. You will start to feel it in a few minutes.

10 Q Eventually he had surgery on his neck, correct?

11 A Yes.

12 Q Did he continue treating with you after surgery?

13 A Yes. Approximately every three to four months.

14 Q When did you first see him -- well, I'm sorry.

15 What would be your expectation for a patient after they've had
16 a cervical fusion as to when you would see benefits from it?

17 A You can see benefits pretty quickly, especially, like
18 I said earlier, the epidurals are also not necessarily just for
19 treatment. It's for diagnosis. I've found that patients who
20 tell me they -- like when patients are discussing surgery with
21 me, again, I am not the surgeon. So I never tell them whether
22 they should or shouldn't, but I just say that if they've had a
23 positive response to the epidurals, I've found that patients
24 generally respond better to surgery than patients who have done
25 epidurals and they say it didn't help at all.

1 If a surgeon is discussing surgery with them, I give
2 my honest opinion and I say it's a hit or miss, maybe 50/50.
3 In his case, he responded positively to the epidural, so I
4 would expect him to more than likely respond pretty good to the
5 surgery.

6 Q Do you know when the surgery was.

7 A It was believe -- I have the date here. It was
8 12/16/2020.

9 Q And then I'm going to jump ahead to -- you saw him on
10 March 24, 2021?

11 A Yes.

12 Q And at that time did he report as to whether he felt
13 any relief from the fusion surgery?

14 A Yes. He reported -- post surgically he reports pain
15 to the shoulders has improved.

16 Q And at that time did you have my recommendations for
17 treatment?

18 A I mean post-surgically, I defer the physical therapy
19 recommendations to the surgeon. So he had not been cleared for
20 physical therapy at that time. So for treatment, I was pretty
21 much just treating with medications and so I prescribed him
22 again just Tylenol and Gabapentin and something for his
23 stomach.

24 Q I'm not going to go through every one of your visits.
25 So I'm going to jump ahead and go to -- well, first I'm going

1 to ask. Do you know if he ever had surgery on his lower back?

2 A Yes. He did have surgery on his lower back.

3 Q Do you know when that was?

4 A I have it in here somewhere. July 18, 2022.

5 Q Then you next saw him after that surgery in March of
6 2022; is that correct?

7 A One second. Yes.

8 Q And at that time, did he report any benefit from the
9 surgery?

10 A That day he said post-surgically he still had the pain
11 but it was less than it was prior to the surgery and still had
12 some pain at his surgical site.

13 Q What medications, if any, were you prescribing at that
14 time?

15 A He was reporting less cramping to his legs and he was
16 sleeping better. At that time, still the three medications;
17 the stomach medication, which is called famotidine,
18 acetaminophen, and Gabapentin.

19 Q And then jumping to September of 2022. Now it's nine
20 months after the lumbar surgery and approximately two years
21 after the cervical surgery. Did you examine Mr. Buestan at
22 this time?

23 A Yes.

24 Q What were your findings?

25 A So on his cervical spine, he was showing improvement

1 in his ranging, quite a bit of improvement in his range of
2 motion from when he came in. There were mild deficits.
3 Extension was at 50. Normal is 60; flexion was 45 degrees with
4 pain. Normal is 50 to 80; left and right lateral flexion, 35
5 degrees; normal is 45, and rotation to the left was to 60
6 degrees. Normal is 80. Right is 65 degrees; normal is 80.
7 Still just some very mild deficits of strength in the same
8 muscle groups.

9 He did have some issues with weakness of the left
10 wrist. But that's related to the wrist jury there, I imagine.
11 Still could reproduce some radicular symptoms, but didn't have
12 any at rest and he had spasms still in the muscles
13 approximately in the approximate C5-C6 region. His lumbar
14 exam, he was utilizing a brace at this point which we
15 prescribed for him, but not to use for more than five hours a
16 day because it can start to weaken muscles. We removed it for
17 examination.

18 Again, he was showing improvement, great improvement
19 in range compared to his initial visit. More mild deficits.
20 Extension 15 degrees; normal would be to 25. Flexion is at 55
21 degrees; normal 85 to 90 and left and right lateral flexion at
22 15 degrees. Normal is approximately 25. Reflexes still
23 depressed, which is normal. Those generally won't come back.

24 Approximately ten percent of the population will have
25 depressed reflexes as well. Weakness, still very mild deficits

1 in the same musculature as presurgically and still some muscle
2 spasms in the lower back.

3 Q Reflexes won't come back?

4 A Generally -- the ankle jerk reflex, it probably will
5 be like that forever, but it's nothing that's detrimental or
6 harmful, and it won't affect his life at all.

7 Q You next saw him -- well, I'm going to jump ahead now
8 to October of 2024?

9 A Got it.

10 Q And at that time, did you examine Mr. Buestan?

11 A Yes.

12 Q What were your findings?

13 A So the cervical spine, he was continuing to show
14 further improvement in his ranging. He still at this point had
15 some just very mild deficits, maybe even normal ranges in some
16 of them. Fifty degrees extension, 50 degrees flexion which is
17 within the normal limits.

18 Forty degrees right and left lateral flexion which is
19 just five degrees less than the normal. He had 65 degrees of
20 rotation. The normal would be to 80. Weakness, I can still
21 recreate, but it's very mild in the same muscle groups. Still
22 had the -- still developed some spasm in his neck muscle. In
23 his ranging at the lower back, extension was at 20 degrees;
24 normal is to 25 degrees, flexion is 65 degrees; normal is 85 to
25 90 and then lateral flexion, he was able to get to normal

1 ranging at 25 degrees.

2 His sensation, it improved so it had come back after
3 the surgery. Still had some mild weakness in the same muscle
4 groups and some spasm present.

5 Q Overall what would you say?

6 A I think he is progressing slowly, but well, after the
7 surgeries.

8 Q You put patient is at MMI. What does that mean.

9 A So this means after surgeries, you continue to treat
10 the patients. Some patients, if their fusion doesn't fuse,
11 doesn't take, they will need revision surgeries or further
12 treatment or if the surgeon didn't do the correct levels, if
13 they need additional surgeries, they'll need further treatment.

14 If at about six to 12 months after treatment, they're
15 just being treated symptomatically, meaning I'm just giving
16 medications, they might get periodic injections, but nothing
17 majorly invasive, like another surgery is needed, I'll deem
18 them at what's called maximum medical improvement, and they're
19 only being treated pretty much for chronic conditions at this
20 point. I call it palliative care.

21 Q Did you continue to see Mr. Buestan through to 2025?

22 A Yes. I think our last visit was in December.

23 Q Prior to testifying today, did you review his trial
24 testimony?

25 A You sent it to me and I glanced over it, yes.

1 Q Did you also review treatment records Mr. Buestan had
2 from 2014 to 2018?

3 A Yes. I understand he had some treatment at a physical
4 therapy and acupuncturist.

5 Q Did any of those records of that trial testimony
6 change any of your opinions you had before reviewing them?

7 A No.

8 Q And why not?

9 A As I was just saying earlier, there are different pain
10 generators in the spine. The treatment he was receiving that I
11 read, I didn't see any mention of radiating pain complaints.
12 It was mostly muscular pain complaints. It seemed to be it was
13 irritated from his work where he would put a lot of strain on
14 his muscles, and he was given trigger points, acupuncture,
15 therapy, and massage for musculoskeletal pain. I didn't see
16 any treatment for a radicular condition.

17 Q The last time you saw him, what medications was he on?

18 A He still is taking the Gabapentin and the
19 acetaminophen and I believe he continues with the stomach
20 pills.

21 Q And, Doctor, do you have an opinion within a
22 reasonable degree of medical certainty as to whether the
23 herniations and treatment for C4-C5, L4-L5, L5-S1 are causally
24 connected to the accident of September 27, 2019?

25 A I can say his symptoms and radicular conditions are

1 consistent with the accident and I would feel are causally
2 related.

3 Q Do you have a future prognosis for Mr. Buestan?

4 A I mean it is a progressive condition. He does have
5 the hardware in his spine now which does cause more advanced
6 and faster breakdown of the joints adjacent to hardware in both
7 the neck and back. So I would place it at as a poor long-term
8 prognosis.

9 Q Tell the jury; what does that mean?

10 A I just think his spine will age at a faster rate than
11 the normal spine. So as he ages, he can have breakdown of the
12 joints surrounding the hardware and sometimes, because you are
13 putting something solid now in something that previously freely
14 movable of the body. Now you're disrupting the natural physics
15 of the body with that hardware in there.

16 So it generates more, so when you are moving, bending,
17 twisting, it puts more strain on the discs above the surgery and
18 the joints above the surgery and so that can lead to arthritis
19 in the back and the neck to a faster degree than just the
20 normal aging population. So patients often likely need further
21 treatment as they age.

22 Q Do you have an opinion within a reasonable medical
23 certainty as to future medical care Mr. Buestan will need?

24 A Yes.

25 Q Can you tell the jury what that is?

1 A Yes. So his medications he is taking.

2 Q And the cost of that?

3 A Yes.

4 Q I'm sorry. Let me interrupt you one more second
5 before you proceed. How did you come up with the cost for the
6 treatment.

7 A The cost of the medications, you can look up online.
8 I look them up online for patients regularly. There are
9 websites that will give you the costs at all the surrounding
10 pharmacies. So I get it from that. For procedures and
11 doctors, it will be either what my office charges or there is
12 also fair sites. There is one government site,
13 fairconsumerhealth.org, which has been recognized by White
14 House summit on healthcare costs and recognized as an innovator
15 in providing transparency to costs of medical procedures.

16 Q Proceed.

17 A So for his -- he's on continuous medications. So he
18 will continue to take the Gabapentin, the Tylenol and stomach
19 medication. That approximate cost is approximately \$50 a month
20 for lifetime duration. Pain management office visits, and
21 those costs, he is being seen approximately four times a year
22 at a cost of \$250 per visit.

23 Q Let me interrupt you there. What if he went to a
24 different pain management doctor? Same price?

25 A It could be more, it could be less. That's what my

1 office charges for cash price.

2 Q Proceed.

3 A And then he does have because of the hardware in his
4 back, oftentimes surgeons who did the surgery will want to see
5 them at last once a year for a checkup, take fresh X-rays, and
6 so that's a cost -- when I asked other doctors, the average
7 cost is approximately \$500 a visit and that would be
8 approximately once a year for lifetime.

9 Then as he ages, as I was talking about, he may
10 benefit from the steroid injections that he's had previously.
11 So this would be more of a -- presently he is not needing it,
12 but as he gets older, he will likely require some steroid
13 injections either into the joints or the discs. Those cost
14 approximately \$1,200 per injection and then a \$2,000 facility
15 cost for the anesthesia and fluoroscopic guidance. These will
16 be given based on his symptoms. You can get up to
17 approximately three of those injections every two years for th
18 lifetime.

19 And that will be both for the cervical and lumbar
20 spine based on his symptoms. Same with the trigger points. He
21 has this issue. He still has pain, so his muscles do continue
22 to tense up and he can be given trigger points based on his
23 symptoms up to a set of injections per three months for the
24 duration for the neck and low back.

25 Again, I think this would be something, as he ages, he

1 will likely need. Presently he is doing pretty good, so I
2 wouldn't recommend these treatments right now. Same thing with
3 the occipital blocks. With the spasm, it can reirritate those
4 nerves and you can get -- the occipital blocks, the cost is
5 approximately \$800 up to three times a year based on his
6 symptoms. So if he is having it and it's bad enough where he
7 wants treatment, then we would offer it.

8 And then maintenance physical therapy, I think would
9 be beneficial for him with the hardware in his back once to
10 twice a month to just have a professional overseeing him, make
11 sure he is not doing something that can damage or loosen the
12 hardware and just overseeing home stretching, home exercise
13 program. And that cost is approximately a hundred to \$150 a
14 month for lifetime.

15 And then as he ages, based on his symptoms, he will
16 need, likely need, MRIs of both the neck and back. I would say
17 average over his life span maybe every five years as he ages.
18 If he comes in complaining of increased pain that starts to
19 radiate, somebody will want to check that out, either me or
20 whoever he is seeing, and the cost of that is approximately
21 \$1,300. And then X-rays of the hardware, just to make sure you
22 are not seeing any loosening, on a yearly basis of both the
23 neck and the back at a cost of \$400 for lifetime duration.

24 And then he did EMG studies prior to his surgery,
25 which an EMG is a test. As I said earlier, the nerve is

1 inflamed. The way I describe it to my patients, it's like a
2 water hose that's kinked. If the signal is not reaching the
3 muscle, the muscle will start to die.

4 This test is involving a needle. It's put in the
5 different muscles to determine which nerves in the neck or back
6 are being inflamed or kinked, but it can also tell if it's an
7 old or sort of an acute finding. So if he were to come in with
8 an acute increase in pain maybe in a different distribution,
9 this test would be beneficial to determine if something is old
10 or new injuries. And so it's, again, based on his symptoms. I
11 would say the cost is approximately \$2,000 I would say an
12 average of every five years as he ages.

13 Q He had EMGs in this particular --

14 A Yes, at another office.

15 Q Do you know which --

16 A Dr. Hausknecht's office. He didn't do them. I think
17 someone in his office staff did it.

18 MR. VARGAS: Thank you, Doctor. No further
19 questions.

20 THE COURT: How is my jury doing? We're going to
21 take a ten-minute break. The court reporter needs it.

22 MR. ROSENZWEIG: I was going to say, Your Honor,
23 I would like to just take a look at his file. I don't
24 believe I have some of the recent records.

25 THE COURT: Okay. Make that 15. We will resume

1 at 11. That's approximately 15.

2 THE OFFICER: All rise. Jury exiting.

3 (Whereupon, the jury exited the courtroom.)

4 THE OFFICER: All rise. Jury entering.

5 (Whereupon, the jury entered the courtroom.)

6 THE COURT: Everyone, have a seat. We are about
7 to proceed with the cross-examination of Dr. Grimm.
8 Counsel, go ahead.

9 CROSS-EXAMINATION BY

10 MR. ROSENZWEIG:

11 Q Good morning, Mr. Grimm -- Dr. Grimm -- I'm sorry.

12 A Good morning.

13 Q We've never met before, correct?

14 A I don't believe.

15 Q I want to start where you kind of left off, at your
16 last visit with Mr. Buestan, which I believe was on December
17 22, 2025. I think I left it on the top for you.

18 A Okay.

19 Q Do you see that record?

20 A Yes.

21 Q Before we talk about the record, you said you did read
22 Plaintiff's trial transcript from a few days, correct?

23 A Yes.

24 Q Did you read in that transcript that Plaintiff listed
25 his pain as a two of ten after taking Gabapentin?

1 A I glanced at it. I don't remember reading that.

2 Q Did you read that he was able to drive?

3 A I did note that he was able to drive, yes.

4 Q Did you note that there was some social media and
5 surveillance during his testimony?

6 A I never read -- I don't think he had gotten to that
7 yet in the one that I read.

8 Q In addition to reading the trial transcript, is it
9 fair that Mr. Vargas sent you some records before the accident
10 from 2014 to 2018?

11 A Yes, some physical therapy records. I perused through
12 them, yes.

13 Q So this is the first time within the last day or so
14 that you're aware that Mr. Buestan had a prior complaint of
15 neck and back pain?

16 A Yes. I wasn't aware of that.

17 Q In fact, on December 22, 2025, you weren't aware of
18 that, correct?

19 A Correct.

20 Q If you read your notes, I think the third paragraph,
21 it says denies prior history of lower back pain. Do you see
22 that?

23 A Denies prior history to lower back pain, yes.

24 Q A little above that, three lines above it, denies
25 prior injuries to his neck or his lower back before this

1 injury?

2 A Yes.

3 Q So he wasn't truthful with you in December 2025,
4 correct?

5 A I mean I would say he is a truthful man. He has not
6 had prior injuries as far as I'm aware, but, yes, I don't have
7 any record of him telling me about back pain.

8 Q It doesn't say injury. It says denies prior history
9 of lower back pain. Do you see that?

10 A Yes.

11 Q He does have a prior history of lower back pain?

12 A Apparently.

13 Q A four-year extensive history of lower back pain,
14 correct?

15 A Yes.

16 Q It also says, if you look at page 2 --

17 A Yes.

18 Q He does not drive?

19 A Yes.

20 Q Do you see that?

21 A Yes.

22 Q That's not true.

23 A That I would probably put on me, because I just
24 discussed how he gets to the visit. I go everything. He took
25 public transportation. Like I generally go through my notes,

1 and the if something changes I take it out. It's been the same
2 forever. I probably just didn't take that part out.

3 Q There are some portions of the record we may not be
4 able to trust because you may or may not have taken things out?

5 A I asked him about the public transportation. He told
6 me that, and I just -- it wasn't my primary focus of the visit
7 is whether he drives or doesn't drive.

8 Q You do your best to take an accurate history, correct?

9 A Yes.

10 Q And if something is not correct, you take it; you put
11 the correct information in?

12 A Correct.

13 Q It didn't happen here?

14 A Correct.

15 Q Physical therapy has been stopped as of December 2025?

16 A Yes. He's doing home exercises.

17 Q No physical therapy since 2020, correct?

18 A Correct.

19 Q Is knowing the full patient's history important in
20 coming up with a determination as to causation?

21 A Yes, part of it.

22 Q You prepared a narrative report in 2021 and then again
23 in 2025, correct?

24 A Yes.

25 Q Both those reports, no mention of a prior medical

1 history of lower back or neck pain, correct?

2 A Correct.

3 Q Wear and tear can cause pain and discomfort to the
4 lower back and neck, correct?

5 A Yes.

6 Q Working demolition for 15 years with repetitive
7 motions can cause paper in the lower back and neck, correct?

8 A Yes.

9 Q Physical sports like soccer can cause wear and tear on
10 the neck and back, correct?

11 A Yes.

12 Q Working in agriculture; bending, lifting can cause
13 pain in the lower back and neck, correct?

14 A Yes.

15 Q Nowhere in your report do you talk about any prior
16 exacerbation of an injury, correct?

17 A Correct.

18 Q Because you didn't know about any prior injury,
19 correct?

20 A Correct.

21 Q You started treating Mr. Buestan in 2020, I believe.
22 Correct?

23 A Yes. January 28.

24 Q In the 2021-2022 period, he had the surgeries,
25 correct?

1 A Yes.

2 Q Surgeries had an excellent result?

3 A Yes. I would say they had a good result.

4 Q Physical condition markedly improved, correct?

5 A Yes. I would say he is doing much better than when he
6 first walked in the door.

7 Q He's got improved range of motion?

8 A Yes. Like I said, I think it's probably at mild
9 deficits in ranging, but he can't strain himself or likely it
10 will aggravate things.

11 Q He's got increased pain?

12 A Yes.

13 Q You read in the transcript that he is applying for
14 work?

15 A Yes.

16 Q Driving a car?

17 A Yes.

18 Q Walking 30 minutes?

19 A Yes. It's good.

20 Q The frequency of visits has diminished over time,
21 correct?

22 A Yes.

23 Q I believe you saw him in May of 2022 and didn't see
24 him again until March of '23; is that correct?

25 A Let me just double-check. I saw him you said May of

1 '22?

2 Q According to my record, you saw him May 23, 2022.
3 Next visit was March 21, 2023.

4 A I mean I have a visit from November of 2022, September
5 2022, and May of 2022 so there's a few other visits.

6 Q How many -- more or less than five visit in 2024?

7 A Pardon?

8 Q How many visits in 2024? More or less than five?

9 A Let me check. I saw him in March of 2024, then June
10 of 2024 -- so that would be two -- and October of 2024, which
11 is three. The next is February of 2025.

12 Q Three visits in 2024?

13 A Yes.

14 Q How many visits in 2025?

15 A In 2025, I saw him in February of 2025. Then I think
16 you had put the 2025 in the front here. It looks like July of
17 2025, so that's two, and September of 2025, three, and in
18 December of 2025, four.

19 Q You haven't seen him yet this year, correct?

20 A I have not.

21 Q Are you aware that Mr. Buestan has traveled to Ecuador
22 twice by plane?

23 A I think -- just from my personal recollection, I think
24 I remember him talking about him visiting his home country at
25 his visit.

1 Q Are you aware of his vacation to the Dominican
2 Republic?

3 A I don't remember that.

4 Q Are you aware that he takes buses and subway around
5 the city?

6 A Yes. He told me he takes public transportation.

7 Q Did you review the CT scans from the hospital from
8 September 27, 2019?

9 A Just the reports.

10 Q Those reports revealed degenerative changes, correct?

11 A Yes.

12 Q That's wear and tear, correct?

13 A Yes.

14 Q In addition to being Mr. Buestan's treating physician,
15 you also served as an expert to come up with this list of
16 things you think he needs in the future, correct?

17 A I was asked to prepare that report.

18 Q You did it originally in 2021?

19 A Yes.

20 Q Updated it in 2025?

21 A Yes.

22 Q From my recollection, it looks like the list in 2021
23 was 21 items, but the list in 2025 is only 18.

24 A Yes.

25 Q You removed things from the list you no longer thought

1 were necessary?

2 A Yes.

3 Q That includes surgery, right? You no longer feel that
4 surgery is necessary, correct?

5 A I think those were surgeries. He ended up having the
6 surgeries. One was on his wrist and one was on his back. So
7 he doesn't need those any longer.

8 Q So you don't budget for any surgeries in the future,
9 correct?

10 A He's doing well. Is it possible that --

11 Q My question is in the list --

12 MR. VARGAS: Objection.

13 MR. ROSENZWEIG: He's not answering the question.

14 THE COURT: Cross-examination. I do allow him to
15 answer. Have a seat, Counsel.

16 Q In your list, in 2021 you mentioned surgery; 2025 you
17 don't, correct?

18 A Correct.

19 Q It's not in your list of things that you think he
20 needs in the future, not in that list, correct?

21 A Because he already had the surgery so --

22 Q There is no future surgery listed in your list,
23 correct?

24 A Correct.

25 Q You do recommend continued pain management, correct?

1 A Yes, as long as he is having pain.

2 Q That's with you, correct?

3 A If he wants to come see me, I've seen him years. I
4 think he's wonderful guy. I would welcome continue treating
5 him.

6 Q Not a bad business model to recommend treatment for
7 yourself?

8 A That's my office, so I don't care. I'm an employee
9 there. He is a pleasant patient to come in, so I like those.

10 THE COURT: There's no question.

11 Q The orthopedic treatment, that would be Dr. Kaplan,
12 correct?

13 A Probably not, because Dr. Kaplan doesn't really treat
14 the wrist. If he were to have an increase, I would send him do
15 back to Dr. Botwonick.

16 Q Doctor, did you read about Dr. Botwonick's testimony?

17 A No.

18 Q He testified, I want you to assume, that no further
19 treatment of the wrist is needed. Would that change your
20 opinion about whether he needs MRIs in the future?

21 A I don't believe I mentioned he should -- it's in the
22 list. After seeing him in December, again, this is a fluid
23 list, I didn't mention it today because I don't think he needs
24 it any longer.

25 Q We can take that item out of your list too, correct?

1 MR. VARGAS: I would object. He didn't say
2 anything about the wrist.

3 THE COURT: I don't recall him saying future
4 treatment about the wrist. Hold on a second. Sustained.

5 Q Are you receiving a fee for testifying today?

6 A My office. I'm not personally, no.

7 Q What was that fee?

8 A \$8,500 to clear my schedule.

9 Q Dr. Kaplan is your boss?

10 A Yes.

11 Q He is the one who receives the fee, the office?

12 A Yes, the office. He doesn't personally.

13 Q Mr. Buestan was referred to you by Plaintiff's
14 counsel, the Gorayeb firm, correct?

15 A I mean he was referred to I think Dr. Kaplan and then
16 Dr. Kaplan referred him to me.

17 Q He was referred to your office by the Gorayeb firm?

18 A I believe so.

19 Q That's not uncommon, correct?

20 A I get patients from everywhere, yes. It's not
21 uncommon.

22 Q The law firm of Gorayeb refers you patients on a
23 regular basis, correct?

24 A They have in the past.

25 Q You receive referrals from other lawyers as well?

1 A I receive referrals from lawyers, Broadway, insurance,
2 Zocdoc, patients, by friends, yes I do.

3 Q If you can answer the question yes or no, please let
4 us know that, okay?

5 A Okay.

6 Q Lawyers are a source of referrals for you, correct?

7 A Yes.

8 Q The Gorayeb firm is a source of referrals, correct?

9 A Yes.

10 Q You're seeing about 40 to 70 patients per week,
11 correct, that are involved in some sort of personal jury
12 litigation?

13 A No. I have slowed down to spend more time with my
14 kids. So I'm only seeing maybe in a week 30 patients total. I
15 wouldn't say that they are all -- they're not all litigation.
16 They're just various. I couldn't give you a number of how many
17 are involved, have lawsuits and how many are just not.

18 Q Is there any other reason you slowed down other than
19 your family?

20 A I am not taking certain insurances still.

21 Q One of those insurances is the workers' compensation?

22 A Yes.

23 Q You are no longer treating workers' compensation
24 patients, correct?

25 A Presently I'm not, no.

1 Q Why is that?

2 MR. VARGAS: Relevancy.

3 MR. ROSENZWEIG: He opened the door.

4 THE COURT: I don't believe he opened the door.
5 I believe you opened the door, Counsel. Sustained.

6 Q You have testified for the Gorayeb firm before?

7 A Yes.

8 Q Over 20 times?

9 A In more than 15 years, probably over 20 times.

10 Q I have found four just last night. How many times
11 have you testified for them in the past five years?

12 A I couldn't give a number.

13 Q A lot of patients who use the Gorayeb firm, correct.

14 A Some. Yes.

15 Q Did you review Dr. Kaplan's records?

16 A I have seen them. I actually didn't really review
17 them too much prior to coming in today.

18 Q No indication in Dr. Kaplan's records about any prior
19 condition to the neck or the back, correct?

20 A Again, I didn't really look through them, but --

21 Q They are part of your office file, correct?

22 A Yes. I've seen them in the past, but I didn't
23 specifically -- I just looked through my files to prepare. I
24 didn't go through Kaplan's.

25 Q You referred Mr. Buestan to Dr. Kaplan, correct?

1 A Yes.

2 Q In prior transcripts, you refer to him as a friend of
3 yours, correct?

4 A Yes. We were friends. We met at dinner once and we
5 became friends and I liked him. He had good outcomes and he
6 seemed like a good surgeon so I started sending patients to
7 him. He proceeded to have good outcomes, so I continue to.

8 Q Do you whether of his outcomes have been questioned?

9 A I guess I don't understand your question.

10 Q Are you aware of anyone questioning Dr. Weinstein's
11 outcome as being beneficial for the patients?

12 A Like patients?

13 Q Anyone.

14 A I guess -- what are you talking about?

15 Q I'm asking you whether you heard anyone question the
16 outcomes of Dr. Weinstein.

17 A The outcomes?

18 Q Correct.

19 A I guess patients have good outcomes. I mean there are
20 some patients that have complained and said that they didnt
21 feel better. Not everyone has been -- there are patients who
22 said they did not feel better, so I guess yes, I could say that
23 he doesn't have a hundred percent success rate. You are
24 correct.

25 Q Is Dr. Weinstein still practicing?

1 A Yes.

2 Q Are you still referring patients to him?

3 A Yes.

4 MR. ROSENZWEIG: That's all I have. Thank you.

5 THE COURT: Anything further?

6 MR. VARGAS: Just a couple, Your Honor.

7 THE COURT: Okay.

8 REDIRECT EXAMINATION BY

9 MR. VARGAS:

10 Q So when you first started treating Mr. Buestan you
11 asked him if he had injuries to his back and neck, correct?

12 A Yes.

13 Q And he didn't consider treating for a sore back from
14 work as an injury, correct?

15 A Based on his testimony, yes.

16 MR. ROSENZWEIG: Doctor, can you look at your
17 record from 1/28/20, your first record?

18 THE COURT: I don't think redirect is done. I'm
19 not sure what you're doing here. Have a seat, Counsel.
20 Have a seat.

21 Q Doctor, the point was made that you did not list any
22 future surgeries in your latest report, correct?

23 A Correct.

24 Q That doesn't rule out that possibly he could have
25 surgery in the future, correct?

1 A Correct. I was saying previously he has this hardware
2 in his back, both his back and neck. You can develop something
3 called adjacent level disc disease and breakdown where the
4 level above the surgery could start to become injured. Some
5 patients will require extensions, a revision to extend the
6 surgery up. He is doing well. Do I think he will need that?
7 Probably not, but is it a possibility? It is, but so -- but I
8 didn't put it in the list because I would say I put it at a low
9 percentage. Dr. Weinstein would know a greater idea, but I
10 would probably put it in low ten to 20 percent range.

11 Q The treatment Mr. Buestan had from 2014 to 2018, do
12 consider that an injury?

13 A I mean I consider it a repetitive irritant. It's
14 relate to his work, but not a direct like acute condition. It
15 would be more of a chronic condition.

16 THE COURT: Step aside, Counsel.

17 MR. VARGAS: I was done.

18 THE COURT: Okay. No need.

19 RECROSS-EXAMINATION BY

20 MR. ROSENZWEIG:

21 Q Just one question, Dr. Grimm. Can you look at your
22 first treatment record from 1/28/20?

23 A Yes. Got it

24 Q It says back pain described as the following. Can you
25 read what it says below that?

1 A Denies history of lower back pain.

2 Q Denies prior history of lower back pain, correct?

3 A Yes.

4 Q You didn't ask about an injury. You asked about a
5 history of lower back paper?

6 A Yes.

7 Q And he denied it?

8 A Yes.

9 MR. ROSENZWEIG: Thank you.

10 THE COURT: Doctor, you may step down. We thank
11 you for your time today. Ladies and gentlemen, as you
12 know, we were unable to secure any witnesses for today, but
13 tomorrow I think we have an all-day attendance of
14 witnesses. So it is 11:30. You may still get good fresh
15 Dominican breakfast in the form of fried cheese and onions
16 and mangu if your digestive system can handle that. And
17 mangu is spelled M-A-N-G-U. All right. That said, you're
18 free to go for the day.

19 THE OFFICER: All rise. Jury exiting.

20 (Whereupon, the jury exited the courtroom.)

21 MR. VARGAS: Are we going to have a ruling
22 regarding Weinstein today?

23 THE COURT: Is it fully briefed?

24 MR. ROSENZWEIG: It is.

25 THE COURT: We will do that first thing in the

1 morning. I will take it a look at it. Let me hear your
2 arguments on it now, but I will tell you that I read
3 Mazzella, the case. I'm going reread it and see if it
4 really applies. That's your opposition?

5 MR. VARGAS: Yes. I found another section of the
6 workers' comp law that I e-filed this morning, which is
7 Workers' Comp Law 18(a) and it's a quote. It says, quote,
8 with respect to an action for a workers' compensation claim
9 permissible under this chapter, no finding or decision by
10 the Workers' Compensation Board, judge, or other arbiter
11 shall be given collateral estoppel effect to any other
12 action or proceeding arising out of the same occurrence
13 other than a determination of the existence of an
14 employer-employee relationship.

15 This law was enacted in December of 2022.
16 Meaning that just because the Workers' Comp Board finds or
17 says that Dr. Weinstein is doing something improper, that
18 has no bearing on any other proceeding. The only thing
19 that can be recognized by this Court is whether there is an
20 employer-employee relationship as far as rulings coming out
21 of workers' compensation.

22 THE COURT: I'm not certain that that is the
23 working applicable definition of collateral estoppel
24 effect.

25 MR. ROSENZWEIG: It is not, Your Honor. It has

1 nothing to do here where we're talking about credibility
2 and bias testimony on cross-examination. It's totally
3 inappropriate. Workers Compensation Law 23 lists the fact
4 that the final determination, notwithstanding any Article
5 78 Hearing, is legal law. This is a final determination,
6 as you will see in my papers, supported by case law and by
7 the determination of the Workers' Compensation Board.

8 So that portion Your Honor has concern about, if
9 it's the final determination, it is the final
10 determination. No doubt about that. It's relevant to the
11 issue of causation and it's a critical issue in this case.
12 Mazzella was the actual defendant who the document is being
13 used against. It was not the defendant in the case. It's
14 subject to cross-examination on issues that are identical
15 to the issues that we are saying are issues of causation.
16 So we have to be able to cross-examine him on the existence
17 of the determination and the relying concept of
18 determination.

19 MR. VARGAS: It is not a final determination. I
20 site where it is still being litigated. It is still in
21 court. It has not been decided yet and regardless whether
22 of whether it's decided or not, we're going to end up
23 having a mini trial on whether Dr. Weinstein is guilty of
24 these or not. This is not the proper courtroom for that
25 trial. I only found four cases because of the recency of

1 the of the workers' comp law, but I brought copies for the
2 court to see where --

3 THE COURT: Not to bring into the discussion
4 questions of hearsay or the like, because that's not really
5 germane, but it seems to me that this is not being offered
6 for the truth of anything. It is being offered to impeach
7 his credibility on the issue of whether the surgeries were
8 needed. I am not trying to engage with this jury to find
9 that he was, in fact, acting improperly, but I don't see
10 how this we avoid addressing whether he has been found to
11 engage, at least by the Workers' Compensation Board, in
12 unnecessary surgical intervention when it comes to
13 treatment of his patients.

14 I am not making a final determination right now,
15 but this seems to me to be the way that the cards lay at
16 the moment. We are not going to get into RICO and anything
17 that is ongoing that involves only allegations, but I will
18 make a determination before we start taking ant testimony
19 tomorrow morning about the issue of Weinstein's
20 relationship to the Workers' Compensation Board, which was
21 never one of employer employee in the first place.

22 MR. VARGAS: They're saying the only rulings that
23 can be recognized is when they determine whether there was
24 and employee-employer relationship. It's not about whether
25 Weinstein's employed by them or not. The only rulings from

1 the Workers' Comp Board to be recognized by any court is
2 when they determine whether an employee involved in the
3 litigation was an employee of the employer. That's when
4 they are called upon to make a ruling. Otherwise, it has
5 no controlling effect in any other court.

6 THE COURT: Well, it's not going to be applied to
7 estop anything here. It's not being offered to estop
8 anything.

9 MR. VARGAS: The defendant is arguing that the
10 determination of the Workers' Comp Board that he performed
11 unnecessary surgeries, that decision applies in this
12 courtroom. It doesn't. Any decision they have, whether
13 it's improper surgeries or fraud by an employer, anything,
14 is not to be used in another courtroom.

15 MR. ROSENZWEIG: In that workers' compensation
16 proceeding or the related personal injury cases.
17 Collateral estoppel has nothing to do with
18 cross-examination of a witness regarding issues that are
19 absolutely germane to causation. He's saying he needed to
20 do a surgery, a fusion, to the lumbar spine and cervical
21 spine when there is a history of the same doctor
22 over-treating other patients and that's the exact reason
23 why the Workers' Compensation Board stripped him of his
24 authority to treat workers' compensation workers.

25 THE COURT: I haven't read the workers'

1 compensation decision. Is that part of the submissions?

2 MR. ROSENZWEIG: Yes.

3 MR. VARGAS: And I would point out what they put
4 in that letter when they decided to take his license away,
5 they're just quoting allegations from the RICO lawsuit.
6 It's nothing that's been proven.

7 THE COURT: Well, I will say this and, again, I'm
8 speaking preemptively as I haven't assimilated and read
9 and, you know, contemplated all of the arguments, that if
10 the Workers' Compensation Board's conclusions are indeed
11 based, to the Court's understanding, on the existence of
12 the RICO actions, then I would be compelled to find that
13 the workers' compensations decision is one and the same as
14 the RICO action itself in terms of its pausing all of his
15 rights and abilities to perform further work I guess until
16 the conclusion of such actions. But once again, let me
17 read before I say anything on the record.

18 MR. VARGAS: I was just going to say, Judge,
19 that's why I gave the index number. That's the exact
20 argument they're making at that index number and that's
21 what the Court needs to decide.

22 MR. ROSENZWEIG: I would encourage the court too
23 to read the paperwork, because it is very illuminating, and
24 I think what you will find is that the Workers'
25 Compensation Board determined on their own investigation

1 that there was improprieties. It had nothing to do with
2 the RICO action other than that alerting them to the fact
3 there could be some issues. Then they did their own
4 investigation and made their own determination irrespective
5 of the RICO. You'll see that in the paper. I don't need
6 to speak anymore.

7 THE COURT: Thank you, gentlemen.

8 (Whereupon, the trial was adjourned until
9 Thursday, January 15, 2026 at 9:30 a.m.)

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